

CDBG-R SUBMISSION TEMPLATE **& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

The CDBG-R Substantial Amendment

Jurisdiction(s): State of Louisiana/Division of Administration/Office of Community Development	CDBG-R Contact Person: Carol Newton Address: P O Box 94095 Baton Rouge, LA 70804-9095 Telephone: (225) 342-7412 Fax: (225) 342-1947 Email: carol.newton@la.gov
Jurisdiction Web Address: http://www.doa.louisiana.gov/cdbg/cdbgHome.htm	

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

The State of Louisiana/Division of Administration/Office of Community Development will amend the FY 2008 Consolidated Annual Action Plan. The purpose of this amendment is to include provisions for a supplemental appropriation of \$7,474,898 in Community Development Block Grant (CDBG) funds which the State will receive under the American Recovery and Reinvestment Act of 2009 (Recovery Act). Such funds are being provided to the State to carry out, on an expedited basis, eligible activities under the CDBG Program and will be used to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. The supplemental appropriation is commonly referred to as the CDBG Recovery (CDBG-R) Program.

The CDBG-R funds will be used, to the extent that monies are available, to fund FY 2008 street applications which fell below the funding line for that activity. It is anticipated that there will be insufficient CDBG-R funds available to completely fund the last application which is in a position to be funded in accordance with those procedures; if so, recaptured/returned monies available under the FY 2009 LCDBG Program will be utilized to supplement the CDBG-R funds in order to completely fund that one particular application.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Additional information regarding the CDBG-R assisted activities is provided on the attached spreadsheet entitled Activity Data Spreadsheet; an electronic version of that spreadsheet is also being transmitted to HUD.

This spreadsheet identifies the two activities (public facilities -streets and administration) that will be funded under the CDBG-R Program.

Streets: In March of 2007, the Office of Community Development mailed a survey form to all potential applicants for Louisiana CDBG funds throughout the State; this involved three hundred and forty-five local governing bodies. The primary purpose of that survey was to gather information regarding the communities' and parishes' priorities and perceived needs as related to the Louisiana CDBG Program. Responses were received from one hundred and forty-seven local governing bodies. Public facilities ranked overwhelmingly as the top priority. Within the realm of specific types of public facilities projects, streets ranked first.

Therefore, the funding of eighteen additional street projects with CDBG-R monies is in full accord with the priority needs and objectives of the Consolidated Plan for FY 2005 – FY 2009, the Consolidated Annual Action Plan for FY 2008, and the Consolidated Annual Action Plan for FY 2009. With the CDBG-R funds and other funds identified on the Activity Data spreadsheet, all of the applications which ranked below the FY 2008 funding line will now be funded. The only reason these applications were not funded under the FY 2008 CDBG Program was due to insufficient funds in that public facilities category.

As shown on the Activity Data Spreadsheet, \$6,895,098 in CDBG-R funds and \$711,994 in other leveraged funding are budgeted for the local street improvements for a total activity budget of \$7,607,092. The other leveraged funding includes previously awarded CDBG funds that have been recaptured or returned to the State and/or local funds commitments which will be used to pay for a portion of the actual construction costs and/or engineering fees.

Program Administration Costs: As is also identified on the Activity Data Spreadsheet, \$579,800 in CDBG-R funds and \$305,700 in other leveraged funding for administrative costs are budgeted for program administration for a total activity budget of \$885,500. The State has budgeted \$200,000 in CDBG-R funds for its cost of administering the CDBG-R Program and has budgeted \$379,800 in CDBG-R monies and \$305,700 in local funds commitments for a total of \$685,500 available for program at the local level.

In accordance with the federal regulations governing the CDBG-R Program, up to ten percent of the CDBG-R funds may be used for general administration and planning activities. As is shown on the Activity Data Spreadsheet, a total of \$579,800 is budgeted for administration costs on both the State and local level; that amounts to 7.76 percent of the State's total grant award which is less than the maximum allowable.

The table entitled Proposed Subrecipients: FY 2008 CDBG-R Funds (Louisiana) identifies the proposed units of general local government which will receive CDBG-R funds. That table also identifies the source and use of the CDBG-R and other funds which will be utilized/budgeted for the completion of the street projects.

No CDBG-R funds will be expended for the activity of public services.

B. CDBG-R INFORMATION BY ACTIVITY

(1) Streets:

It has been determined by the Office of Community Development that street applications which were submitted for FY 2008 CDBG funds, but were not funded due to an insufficient amount of FY 2008 CDBG funds, would be best able to meet the requirement of accepting bids and awarding contracts within 120 days of the execution the State's CDBG-R grant agreement with HUD. There are no known obstacles which would hamper a speedy completion of these projects.

These applications were fully rated upon submittal and were determined as being qualified for funds based on eligibility requirements and national objective criteria. Each of the eighteen applications that will be funded with CDBG-R funds will meet the national objective criteria of principal benefit to low and moderate income persons; this is documented by census data or local survey data. Federal

regulations require that no less than 70 percent of the aggregate of fund expenditures shall be for activities that benefit low and moderate income persons. The State will exceed this percentage as 100 percent of the non-administrative funds awarded will be used for activities that will benefit low and moderate income persons.

Program Administration Costs: It is anticipated that the subrecipients will generally expend the administrative funds at the same rate as the construction costs. Again, there are no anticipated obstacles which would hamper the program administration of the selected projects.

(2) Activity Narrative:

Streets: One of the most basic services provided by a local, state, and/or federal government is a reliable transportation system. The key component of a reliable transportation system is a good quality roadway network. The proposed roadway projects enable the rehabilitation of the local roadway network that could not be adequately improved with the limited resources of the local government.

The CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated and will address the Recovery Act by:

Preserving and creating jobs and promoting economic recovery – Roadway improvement projects impact the economy by providing job creation and retention in the construction field. This may enable some contractors to keep their businesses in operation and also assist the employees of those contractors who may be in danger of losing their jobs and/or of being unable to find employment elsewhere. Laborers to skilled machinery operators are utilized in the construction of roadway projects. Subsequent job preservation and economic recovery goes beyond the direct construction labor. The subsequent economic benefits include engineering design and construction administration personnel, suppliers and manufacturers of roadway material and equipment suppliers.

Assisting those most impacted by the recession – The most impacted by the recession are the low to moderate income people that our program is designed to assist. Also, with roadway construction, the majority of hires are unskilled labor. The unskilled labor force is the most impacted by the recession and will be most benefitted by public works construction contracts.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits – The direct investment in transportation starts with improving the roadway infrastructure of a community. Improved infrastructure has always been the first start of economic development in a community.

Administration: The fees paid to the administrative consultants may also enable those firms to create new jobs or assure the continued employment of persons already employed.

(3) Jobs Created:

It is estimated that the construction activity created by these street projects will create nine fulltime jobs and will retain sixty-nine part-time jobs.

(4) Additional Activity Information:

These street improvements will maximize the use of gasoline fuel since it will take less energy to travel on a smooth surface.

(5) Responsible Organization:

Please refer to the chart entitled Proposed Subrecipients: FY 2008 CDBG-R Funds (Louisiana) for the name of each proposed local government that will receive a grant under the CDBG-R Program as well as the name and telephone number of the administrative consultant who will be assisting each local government in the implementation of the CDBG-R Program. The engineering firms and administrative consulting firms were procured in accordance with the CDBG Program requirements during the application preparation stage.

C. PUBLIC COMMENTS

A copy of the proposed substantial amendment was made available for viewing on the Office of Community Development's website (<http://www.doa.louisiana.gov/cdbg/cdbgHOME.htm>) on June 15, 2009. Written notice of this was sent to each of the approximately three hundred and fifty eligible non-entitlement parishes and municipalities throughout the State as well as to approximately fifty administrative consulting and engineering firms currently working with local governments participating in the Louisiana Community Development Block Grant (LCDBG) Program. Comments on the proposed substantial amendment could be submitted beginning June 16, 2009, and would be accepted until June 23, 2009.

A summary of comments received and the responses to those comments follow; the comments received are presented in bold type.

Seven persons (two State Representatives, one Mayor, one Parish President, two engineers, and one administrative consultant) submitted comments requesting that the Office of Community Development reconsider the use of the FY 2008 LCDBG street application ranking list to select the projects for funding with CDBG-R monies. Those comments also included alternate recommendations for the determination of the proposed subrecipients of the CDBG-R funds.

It was questioned as to why the FY 2008 Consolidated Annual Action Plan was amended rather than the FY 2009 Consolidated Annual Action Plan. HUD directed all of the States to amend their FY 2008 Action Plan; amending the FY 2009 Action Plan was NOT an option. According to HUD each state "...eligible for a CDBG-R grant has already received an annual allocation of FY 2008 CDBG funds, has carried out needs hearings, has a consolidated plan, an annual action plan, a citizen participation plan, a monitoring plan, an analysis of impediments to fair housing choice, and has made CDBG certifications." In other words, all states had been approved for FY 2008 funds but not all states, including Louisiana, had an executed grant agreement for FY 2009 funds. Because of that directive, the State amended its FY 2008 Annual Action Plan. And, for that reason also chose to select projects for funding from those applications which had been considered for FY 2008 LCDBG funds. It was determined that choosing street projects would expedite the distribution of CDBG-R funds and would enable the State and its subrecipients to meet the federal requirement of accepting bids and awarding contracts within 120 days of the execution of the State's CDBG-R grant agreement with HUD. All of the FY 2008 street applications which fell below the funding line had been fully rated and previously determined as being qualified for funding based on eligibility requirements and national objective criteria.

Other suggested alternatives for selecting the subrecipients for CDBG-R funding were to accept new applications, combine the unfunded FY 2008 and FY 2009 street application lists, consider only the unfunded FY 2009 applications, and/or waive the threshold requirements applicable to previously funded grantees thereby rendering all applicants as eligible for funding. After giving these suggested alternative consideration and for the reasons stated in the paragraph above, it was still determined that the funding process proposed by the State best addresses the intent of the Recovery Act.

A volunteer with a nonprofit foundation stated that the proposed amendment failed to consider offering housing developers access to CDBG-R funds. There is an urgent need for housing developers to fill gaps in their housing and site development budgets for infrastructure improvements and to assist local governments by replacing housing units which were destroyed by natural disasters.

In order to meet the designated timeframes under the Recovery Act, there is insufficient time for the Office of Community Development to research and develop a new program category for the distribution of the CDBG-R funds. The Disaster Recovery Unit within the Office of Community Development is responsible for administering the supplemental CDBG funds for hurricane recovery in the State and should be contacted regarding the availability of funding for infrastructure improvements for multi-family and other housing development sites.

CDBG-R Substantial Amendment

Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): State of Louisiana Lead Agency: Division of Administration/Office of Community Development Jurisdiction Web Address: http://www.doa.louisiana.gov/cdbg/cdbgHome.htm	CDBG-R Contact Person: Carol Newton Address: P O Box 94095 Baton Rouge, LA 70804-9095 Telephone: (225) 342-7412 Fax: (225) 342-1947 Email: carol.newton@la.gov
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The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page **10**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: **June 26, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,

Yes No Verification found on page(s) **10**

- the Eligibility citation (eligibility regulatory cite or HCDA cite),

Yes No Verification found on page(s) **10**

- the CDBG national objective citation,

Yes No Verification found on page(s) **10**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) 4
- projected number of jobs created for each activity,
Yes No Verification found on page(s) 5
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) 5
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) 5, 11-12
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) 3, 10-12
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) 3
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) 4

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No . Verification found on page(s) 5

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) 5-6

D. CERTIFICATIONS

The following certifications are complete and accurate:

- (1) Affirmatively furthering fair housing Yes No
- (2) Anti-displacement and relocation plan Yes No
- (3) Drug-free Workplace Yes No
- (4) Anti-lobbying Yes No
- (5) Authority of jurisdiction Yes No

- (6) Consistency with plan Yes No
- (7) Section 3 Yes No
- (8) Community development plan Yes No
- (9) Following a plan Yes No
- (10) Use of Funds Yes No
- (11) Excessive Force Yes No
- (12) Compliance with anti-discrimination laws Yes No
- (13) Lead-based paint procedures Yes No
- (14) Compliance with laws Yes No
- (15) Compliance with ARRA Yes No
- (16) Project selection Yes No
- (17) Timeliness of infrastructure investments Yes No
- (18) Buy American provision Yes No
- (19) Appropriate use of funds for infrastructure investments Yes No
- (20) 70% of CDBG-R for LMI Yes No

Optional Certification

- (21) Urgent Need Yes No

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- (1) Affirmatively furthering fair housing Yes No
- (2) Anti-displacement and relocation plan Yes No
- (3) Drug-free Workplace Yes No
- (4) Anti-lobbying Yes No
- (5) Authority of State Yes No
- (6) Consistency with plan Yes No
- (7) Section 3 Yes No
- (8) Community development plan Yes No
- (9) Consultation with Local Governments Yes No
- (10) Use of Funds Yes No
- (11) Excessive Force Yes No
- (12) Compliance with anti-discrimination laws Yes No
- (13) Compliance with laws Yes No
- (14) Compliance with ARRA Yes No
- (15) Project selection Yes No
- (16) Timeliness of infrastructure investments Yes No
- (17) Buy American provision Yes No
- (18) Appropriate use of funds for infrastructure investments Yes No
- (19) 70% of CDBG-R for LMI Yes No

Optional Certification

- (20) Urgent Need Yes No

CDBG-R State of Louisiana

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Louisiana		CDBG-R Fomula Grant Amount: \$7,474,898				Date: June 26, 2009	
<i>Activity Name</i>	<i>Activity Description</i>	<i>Eligibility (Regulatory or HCDA Citation)</i>	<i>National Objective Citation</i>	<i>CDBG-R Project Budget (\$)</i>	<i>Additional Recovery Funds (\$)</i>	<i>Other Leveraged Funding (\$)</i>	<i>Total Activity Budget</i>
PUBLIC FACILITIES	Street construction, rehabilitation, or reconstruction in eighteen units of general local government	SEC 105(a)(2)	24CFR570.483(b)	6,895,098.00	0.00	711,994.00	7,607,092.00
							0.00
ADMINISTRATION	Program Administration Costs	SEC105(a)(13)	24CFR570.206	579,800.00	0.00	305,700.00	885,500.00
	State		24CFR570.206	200,000.00	0.00	0.00	200,000.00
	Local Governments		24CFR570.483(f)	379,800.00	0.00	305,700.00	685,500.00
							0.00
TOTAL				7,474,898.00	0.00	1,017,694.00	8,492,592.00

Proposed Subrecipients FY 2008 CDBG-R Funds

Name of Subrecipient	Program Administrator	Location	CDBG-R Project Budget	Recaptured/ Returned Funds	Other Leveraged Funds	Total Budget
Colfax	Pan American Engineers 318-473-2100	CT 203				
Streets			548,700.00			548,700.00
Administration					38,700.00	38,700.00
Total			548,700.00		38,700.00	587,400.00
Collinston	Waxmans III 318-387-9225	CT 9507				
Streets			112,007.00			112,007.00
Administration			37,900.00			37,900.00
Total			149,907.00			149,907.00
Columbia	Kendall Magee 318-640-1520	CT 9902				
Streets			467,968.00			467,968.00
Administration			37,900.00			37,900.00
Total			505,868.00			505,868.00
Dry Prong	Pan American Engineers 318-473-2100	CT 020401				
Streets			270,100.00			270,100.00
Administration					38,700.00	38,700.00
Total			270,100.00		38,700.00	308,800.00
Estherwood	Mader Engineering 337-989-8047	CT 980600				
Streets			265,100.00			265,100.00
Administration			37,900.00			37,900.00
Total			303,000.00			303,000.00
Fisher	GNF Management 318-343-8808	CT 980600				
Streets			554,726.00			554,726.00
Administration			37,900.00			37,900.00
Total			592,626.00			592,626.00
Hall Summit	Waxmans III 318-387-9225	CT 9601				
Streets			164,516.00			164,516.00
Administration			37,900.00			37,900.00
Total			202,416.00			202,416.00
Harrisonburg	Oliver Schulz 318-332-1008	CT 9801				
Streets			338,350.00			338,350.00
Administration			37,900.00			37,900.00
Total			376,250.00			376,250.00
Madison Parish	Kendall Magee 318-640-1520	CT 9601				
Streets			217,770.00			217,770.00
Administration			37,900.00			37,900.00
Total			255,670.00			255,670.00

Morehouse Parish	Oliver Schulz 318-332-1008	CT 9508				
Streets			559,480.00			559,480.00
Administration			37,900.00			37,900.00
Total			597,380.00			597,380.00
Morse	Mader Engineering 337- 989-8047	CT 961100				
Streets			421,165.00			421,165.00
Administration					37,900.00	37,900.00
Total			421,165.00		37,900.00	459,065.00
New Llano	Pan American Engineers 318- 473-2100	CT 9505				
Streets			525,200.00			525,200.00
Administration					38,700.00	38,700.00
Total			525,200.00		38,700.00	563,900.00
Quitman	Ed Hannum 318-323-8186	CT 9702				
Streets			284,210.00			284,210.00
Administration			37,900.00			37,900.00
Total			322,110.00			322,110.00
St. James Parish	South Central Planning 985-851-2900	CT 040400				
Streets		CT 040600	600,000.00		78,700.00	678,700.00
Administration					36,400.00	36,400.00
Total			600,000.00		115,100.00	715,100.00
St. John the Baptist Parish	South Central Planning 985- 851-2900	CT 070700				
Streets			564,000.00		61,500.00	625,500.00
Administration					38,700.00	38,700.00
Total			564,000.00		100,200.00	664,200.00
St. John the Baptist Parish	South Central Planning 985- 851-2900	CT 070600				
Streets			548,000.00		60,000.00	608,000.00
Administration					38,700.00	38,700.00
Total			548,000.00		98,700.00	646,700.00
St. Joseph	Waxmans III 318-387-9225	CT 9803				
Streets			50,306.00	511,794.00		562,100.00
Administration				37,900.00		37,900.00
Total			50,306.00	549,694.00		600,000.00
Tullos	Pan American Engineers 318-473-2100	CT 970300				
Streets			403,500.00			403,500.00
Administration			38,700.00			38,700.00
Total			442,200.00			442,200.00
TOTAL STREETS			6,895,098.00	511,794.00	200,200.00	7,607,092.00
TOTAL ADMINISTRATION			379,800.00	37,900.00	267,800.00	685,500.00
TOTAL FUNDS ALLOCATED			7,274,898.00	549,694.00	468,000.00	8,292,592.00

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
*Other (Specify) _____		
3. Date Received:		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: State of Louisiana		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 72-6000720		*c. Organizational DUNS: DUNS # 958093296
d. Address:		
*Street 1:	Post Office Box 94095	
Street 2:	_____	
*City:	Baton Rouge	
County:	East Baton Rouge Parish	
*State:	Louisiana	
Province:	_____	
*Country:	United States of America	
*Zip / Postal Code	70804-9095	
e. Organizational Unit:		
Department Name: Division of Administration		Division Name: Office of Community Deveelopment
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: _____	*First Name: Carol _____	
Middle Name: _____		
*Last Name: Newton		
Suffix: _____		
Title: Director		
Organizational Affiliation: Office of Community Development/Community Development Section		
*Telephone Number: 225-342-7412		Fax Number: 225-342-1947
*Email: carol.newton@la.gov		

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision *Other (Specify) _____
3. Date Received:		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: State of Louisiana		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 72-6000720		*c. Organizational DUNS: DUNS # 958093296
d. Address:		
*Street 1:	Post Office Box 94095	
Street 2:	_____	
*City:	Baton Rouge	
County:	East Baton Rouge Parish	
*State:	Louisiana	
Province:	_____	
*Country:	United States of America	
*Zip / Postal Code	70804-9095	
e. Organizational Unit:		
Department Name: Division of Administration		Division Name: Office of Community Deveelopment
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: _____	*First Name: Carol _____	
Middle Name: _____		
*Last Name: Newton		
Suffix: _____		
Title: Director		
Organizational Affiliation: Office of Community Development/Community Development Section		
*Telephone Number: 225-342-7412		Fax Number: 225-342-1947
*Email: carol.newton@la.gov		

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OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424	Version 02
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16. Congressional Districts Of:
*a. Applicant: _____ *b. Program/Project: 1-8

17. Proposed Project:
*a. Start Date: _____ *b. End Date: _____

18. Estimated Funding (\$):

*a. Federal	_____	\$7,474,898
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	\$7,474,898

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**
 a. This application was made available to the State under the Executive Order 12372 Process for review on _____.
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**
 Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)
 ** I AGREE
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: _____ *First Name: Angele
Middle Name: _____
*Last Name: Davis
Suffix: _____

*Title: Commissioner of Administration

*Telephone Number: 225-342-7000 Fax Number: 225-342-1057

* Email: _____

*Signature of Authorized Representative: 	*Date Signed: June 26, 2009 <u>6/26/09</u>
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STATE CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The State will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about:
 - a) The dangers of drug abuse in the workplace;
 - b) The grantee's policy of maintaining a drug-free workplace;
Any available drug counseling, rehabilitation, and employee assistance programs;
and
 - c) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - a) Abide by the terms of the statement; and
 - b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:

- a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the State's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of State.** The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Consultation with Local Governments.** The state certifies that, for community development activities, it engages or will engage in planning; that it provides or will provide technical assistance to local governments; and that it will not refuse to distribute funds on the basis of the particular eligible activity selected by the local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs.

It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The State will require units of general local government that receive CDBG-R funds to certify to the following:

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The State will require units of general local government that receive CDBG-R funds to certify that they have adopted and are enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(14) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(15) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(16) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(17) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(18) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(19) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.


Signature Authorized Official

June 26, 2009
Date

Commissioner of Administration
Title