WATER VESSEL PROGRAM

LOSS PREVENTION UNIT OFFICE OF RISK MANAGEMENT DIVISION OF ADMINISTRATION

CONTENTS

WATER VESSEL SAFETY

Introduction		2
Components of L	ouisiana's Water Vessel Safety Program	2
Agency P	olicies and Procedures	2
Re	esponsibilities	2
	Department and agency heads	2
	Water Vessel Coordinators or Designee	2
	Water Vessel Operators' Supervisors	3
	Employees	3
Au	uthorization process	4
Pr	ocedures for Enrolling Operators	4
Hi	gh-Risk Operators	4
Preventiv	e Maintenance	5
Ve	essel Inspection and Repair	5
Training		5
Claims Ro	eporting/Accident Investigation	6
Ad	ccident Reporting	6
	For Vessels 26' or longer	7
	For Vessels under 26'	8
Safety Audits and	d Record Keeping	8
Glossary		9
Appendix		11
Vessel Authoriza	tion/Operator History Form (DA 2066)	12
Boating Incident	Reporting Form (DWF-BIR-010OP)	14
Report or Marine	Accident, Injury, or Death Form (CG-2692) and Instructions	19

202<mark>5</mark>0701 Page 1 of 22

WATER VESSEL PROGRAM

Cites referencing Water Vessel LAC Title 37

Introduction

The Water Vessel Safety Program is part of the overall Loss Prevention Program, as required by R.S. 39:1543. Its purpose is to provide a systematic method of screening, training, and accountability for employees and supervisors required to assign or operate state-owned/leased/hired water vessels in the scope of their employment as required by LAC Title 37.

The following materials are included to assist administrators, supervisors, loss prevention coordinators and/or representatives, and agency designees in managing and implementing proper vessel operation by state employees. Definitions and forms are included and described in the appendix.

Components of Louisiana's Water Vessel Program

- 1. Agency Safety Policies and Procedures:
 - A) **Responsibilities** Each agency owning/operating a water vessel is responsible for implementing a Water Vessel Program. The program shall include rules concerning who shall be permitted to operate vessels under the agency's control. Policies shall outline the roles and responsibilities of department/agency heads, or program designee, and employees in water vessel safety. These policies shall be issued to all applicable employees and form the basis for an agency's Water Vessel Program.

Upon request, the Loss Prevention Officer shall provide guidance and direction to agencies in the development of effective water vessel safety policies.

Department/Agency Heads or Designees

Department/agency heads are responsible for implementation of the Water Vessel Program and shall stress the importance of the department's Water Vessel Program to all affected employees. Department/agency heads or their designees are responsible for reviewing operator records and identifying employees (e.g., via an annually signed and dated list) who shall be authorized to operate state vessels.

Department/agency heads should ensure that **only** state-owned/leased/hired vessels are used on state business.

Water Vessel Coordinators or Designee

These individuals plan, organize, direct, and control the Water Vessel Program for the agency, ensuring that:

- Policies and procedures are established and implemented
- Training courses are conducted and documented
- Official Driving Records (ODRs) from the Office of Motor Vehicles and Water Operator Records (WORs) from the Louisiana Department of

20250701 Page 2 of 22

Wildlife and Fisheries (LDWF) are requested and reviewed annually and maintained*

- Authorization/Operating History Forms (DA 2066) are signed and dated by the agency head/designee annually and reviewed no later than forty-five (45) days from the date the ODR and WOR are obtained
- "BoatUS" (see appendix) (or other National Association of State Boating Law Administrators [NASBLA]-approved) course and/or ORM refresher course (see appendix) statuses are reviewed annually
- All accidents are reported
- Employees meet all the requirements to be authorized to operate a water vessel
 - * Drivers license suspensions and/or reckless operation convictions for automobile use now apply to a person's water vessel operating record.

Specifically, R.S. 32:667 B (5) states that if your driver license is suspended or revoked, your privileges to operate a watercraft upon waterways of the state are suspended or revoked as well. R.S. 14.99 defines reckless operation of a vehicle to include: motor vehicle, aircraft, vessel, or other means of conveyance in a criminally negligent or reckless manner.

Therefore, agencies must check these areas of the ODR to determine if an employee is considered high-risk as it pertains to suspension or reckless operation as such information will not appear on records received from the La. Department of Wildlife and Fisheries (LDWF).

Water Vessel Operators' Supervisors:

- shall provide time for each employee who needs to be authorized to operate a water vessel to attend the "BoatUS" (or other NASBLAapproved) course and/or refresher course
- shall ensure that all vessels and vessels' accessories provided to water vessel operators fit for their intended purpose
- shall ensure that all water vessel policies and procedures are followed
- shall submit reports within the required time frame
- shall allow only authorized employees to operate water vessels on state business
- shall assist in conducting accident/incident investigations

Employees

Only employees authorized by their agency head (or designee) to operate a state-owned/leased/hired water vessel shall operate state-owned/leased/hired water vessels for state business. Employees shall only operate the type of water vessel for which they are authorized, licensed, and insured. Employees who are authorized to operate such vessels shall be responsible for the safe operation of those vessels. Operators shall report any unsafe condition, accident, or citation received involving a state-owned/leased/hired water vessel to their supervisor or designee for mitigation.

202<mark>5</mark>0701 Page 3 of 22

B) **Authorization Process** –The authorization process shall include:

- A review of the employee's or prospective employee's ODR from the Office of Motor Vehicles and his/her WOR obtained from the LDWF
- Verifying completion of a "BoatUS" (see appendix) (or other NASBLA-approved) course and/or refresher course (see appendix) training course
- Determining when operator responsibility shall be taken away from an employee because of reckless operation of a vessel or being cited for boating violations
 - * Drivers license suspensions and/or reckless operation convictions for automobile use now apply to a person's water vessel operating record.

Procedures for Enrolling Operators

Upon recognizing the need for an employee to operate a state-owned/leased/hired vessel by their supervisor, the employee shall complete the Authorization History Form (DA 2066). The information on this form shall be used to acquire the Water Operator Record (from the Department of Wildlife and Fisheries). The Authorization History Form and the WOR are then submitted to the agency head or designee who shall review the operator record and sign the Authorization History Form. When employees are authorized to operate water vessels, they shall be enrolled in the "BoatUS" course (or other NASBLA-approved course) or the refresher course. A copy of the certificate of of completion shall be retained on file.

It is the responsibility of the individual agency to retain any/all records pertaining to the Water Vessel program (with the exception of records for the BoatUS Course) as the Department of Wildlife and Fisheries will NOT maintain such.

High-Risk Operators

High-risk operators are those individuals:

 Having three or more convictions, guilty pleas, and/or nolo contendere pleas for moving vessel violations, or having a single conviction, guilty plea, or nolo contendere plea for operating a vessel while intoxicated, careless operation, reckless operation, negligent homicide, or similar violation including any civil case for which negligence has been proven within the previous twelve (12) month period.

Individuals designated to be high-risk operators shall be notified in writing that they are not authorized to operate state-owned/leased/hired water vessels from the date of discovery for a minimum of twelve (12) months and that they shall be required to retake the initial "BoatUS"(or other NASBLA-approved) course within ninety (90) days of discovery.

The high-risk Operator's immediate supervisor and the individual in charge of water vessels shall also be notified in writing that the unauthorized employee shall not be given authority or access to operate a vessel on state business.

202<mark>5</mark>0701 Page 4 of 22

C) Preventive Maintenance – The appropriate agency designee shall ensure that preventive maintenance is performed on all required engines/motors/vessels and that documented corrective actions are taken within the applicable time frame.

A system of preventive maintenance shall be developed and implemented for all vessels of any size.

Vessel Inspections and Repairs

A monthly inspection shall be performed on all powered/non-powered vessels, regardless of size, and corrective actions for all deficiencies found shall be performed and documented. Items to be inspected shall include, but are not limited to:

- Fire Extinguishers
- Signaling Devices (e.g., air horn) Flares
- Damage to the Vessel
- Lighting

- Personal Flotation Devices
- Communication Devices (e.g., radio)
- Trailers

Additionally, for all vessels twenty-six (26) feet or longer, the appropriate Coast Guard inspections shall be conducted as required and all corrective actions performed and documented.

D) Training – Upon request, the Office of Risk Management Loss Prevention Unit shall assist each agency in implementing water vessel safety training programs that address the needs of the agency by assisting Agencies in identifying training aids and resources that may be used for water vessel safety.

Upon request, LDWF will provide train-the-trainer courses for state agencies.

The following requirements shall be met:

Employees

- a. who will be authorized to operate a state-owned/leased/hired water vessel shall be required to obtain/maintain certification by taking either the "BoatUS" (or other NASBLA-certified) water vessel training course or the refresher course (as appropriate) taught by the Louisiana Department of Wildlife and Fisheries (LDWF) prior to operating a vessel. LDWF shall regulate the instructor designations for this program and provide train-the-trainer courses for state agencies.
- b. shall attend the appropriate, required training within the first ninety (90) days of hire (or upon entering the program) and at least once every three years thereafter.
- c. who have convictions or negligence on their boating records shall be required to retake the "BoatUS" (or other NASBLA-certified) course within ninety (90) days of discovery of a conviction.

NOTE: Retaking the boating course due to a conviction does not reset the employee's refresher training date.

20250701 Page 5 of 22

E) Claims Reporting/Accident Investigation

Upon request, the Office of Risk Management's Loss Prevention Unit shall assist the agency in accident analysis and in establishing preventive procedures.

Accident Reporting-General

A boating/water vessel accident is defined as a collision, accident, or other casualty involving a state water vessel, other water vessel, or individual. A water vessel is considered to be involved in a boating accident whenever the occurrence results in damage by or to the water vessel or its equipment, results in injury or loss of life to any person, or results in the disappearance of any person from on board under circumstances that indicate the possibility of death or injury. A boating accident also includes, but is not limited to, capsizing, foundering, flooding, fire, explosion and the disappearance of a water vessel other than by theft.

- 1. All accidents shall be reported to the next level of supervision by the water vessel operator having the accident on the day of the accident or as soon thereafter as possible in the appropriate format (See requirements below by water vessel size). As provided for in R.S. 34:851.10, all accidents involving a vessel and resulting in death or injury to a person must be reported to DWLF within 48 hours and those with property damage in excess of \$500 must be reported within 5 days to: 1-800-442-2511. A wildlife agent will respond to investigate the accident.
- 2. The supervisor of the individual having the accident shall review the accident report within two working days of the accident and verify the completeness of the report. Incomplete reports shall be returned for missing information. It may be necessary for the supervisor to aid the individual in completing the report.
- When investigating accidents, the supervisor shall request assistance, when appropriate, from the agency water vessel coordinator or agency designee or the assigned Loss Prevention Officer. (The supervisor shall send the appropriate accident report forms to: the Third Party Administrator [TPA], LDWF, and/or U.S. Coast Guard immediately.)

For any non-commercial vessel involved in an accident in <u>any</u> waters, a Louisiana Department of Wildlife and Fisheries (LDWF) Boating Accident/Investigation Report (DWF-BIR-010-OP – See appendix) shall be completed for each accident and submitted to LDWF.

If the accident(s) occurred in a commercial vessel on navigable waters, a Report of Marine Accident, Injury, or Death form (CG-2692) shall be completed for each and submitted to the U.S. Coast Guard.

Upon request, the DWLF will assist in the investigation of any incident/accident.

4. After gathering all available information about the accident, the supervisor of the individual having the accident shall attempt to make a determination of whether the

202<mark>5</mark>0701 Page 6 of 22

accident was preventable. The supervisor shall consider what corrective action(s) is appropriate, which may include: temporary suspension of operating privileges, special training, physical examination, etc., and make a recommendation to the agency head. The report and any recommendations shall then be forwarded to the agency head, or their designee, for review. The agency head shall review the accident report, the Authorization/Operating History Form (DA 2066), and the Water Operator Record (WOR). The need for corrective disciplinary action may be considered for each accident where there was improper use of a vessel.

5. Agencies shall forward a copy of the Authorization/Operating History Form (DA 2066) and Water Operator Vessel Record to the TPA. This shall be completed within the time required by the type of claim being filed.

For vessels 26 feet in length or longer:

- A. The State of Louisiana provides insurance for liability and hull damage.
- B. All claims involving vessels equal to or in excess of 26 feet, as well as all nonemployee bodily injury and non-state-owned property claims, shall be reported in writing to the TPA.
- C. Complete a copy of the Louisiana Boating Accident Report that includes the following:

(This information shall be submitted when a claim is reported.)

- Complete description of vessel including hull identification and Coast Guard certificate number
- Name of captain or master and passengers
- Exact location of incident
- Date and time of incident
- If applicable, names and addresses of third parties involved (if known)
- Description of damages
- Names of persons who can assist in investigation
- Circumstances surrounding and/or cause of accident
- D. All lawsuits, demands notices, summons, or other legal documents pertaining to a claim against a state agency shall be forwarded immediately to the Office of Risk Management's Transportation Unit supervisor for further handling.
- E. Any objects and/or products that may have caused, contributed to, or that are suspected of causing an accident shall be retained and preserved as evidence.
- F. If a loss occurs or a claim arises, the agency shall not assume any obligation or incur any expenses without authority from the Office of Risk Management.

202<mark>5</mark>0701 Page 7 of 22

For vessels under 26 feet in length:

The State of Louisiana provides insurance coverage for bodily injury and property damage. An agency shall report the claim to the TPA when an employee is injured.

- A. Property and/or General Liability claims shall be submitted in writing to the TPA. A completed copy of the accident report, Authorization/Operating History Form (DA 2066) and WOR shall be submitted with a claim.
- B. If a loss is serious in nature, it is to be reported by telephone to TPA for review to determine if coverage is applicable.
- C. Claims made against a state agency by a third party shall be submitted to the TPA for review to determine if coverage is applicable.
- D. All lawsuits, demands, notices, summons, or other legal documents pertaining to a claim against a state agency shall be forwarded immediately to the TPA for further handling.
- E. Any objects and/or products that may have caused, contributed to, or that are suspected of causing an accident shall be retained and preserved as evidence.
- F. If a loss occurs or a claim arises, the agency shall not assume any obligation or incur any expenses without authority from the Office of Risk Management.

2. Safety Audits and Record Keeping:

Upon request, the TPA shall assist agencies in reviewing and analyzing their water vessel policies and procedures to determine if the agency's program is in compliance. Data concerning the number, type, frequency, and loss amount of claims shall be provided to the agency. This data is useful in identifying where losses are occurring and how losses may be controlled.

Water vessel safety program records shall be maintained at the agency location and/or a central location designated by the agency head/designee for review. Specifically, for each of the following:

- DA2066 forms, WORs, ODRs, high-risk driver documentation (e.g., re-training records, letters), water vessel inspection forms, preventive maintenance records from both of the previous **two (2)** fiscal years.
- Operator training (initial, refresher) documentation from the **two (2)** most recent training sessions.

It is the responsibility of the individual agency to retain any/all records pertaining to the water vessel program. However, records pertaining to the BoatUS course will be maintained by the Department of Wildlife and Fisheries.

202<mark>5</mark>0701 Page 8 of 22

GLOSSARY

<u>Authorization/Operator History Form</u> (DA 2066) - This form shall be maintained by the agency on each employee who operates a vessel on a regular basis. The form shows when an employee was authorized, the type of vessel the employee may use, and information on the vessel operator's record.

<u>Boating Accident</u> - A boating/water vessel accident is defined as a collision, accident, or other casualty involving a state water vessel, other vessel, or individual. A water vessel is considered to be involved in a boating accident whenever the occurrence results in damage by or to the water vessel or its equipment, in injury or loss of life to any person, or in the disappearance of any person from on board under circumstances that indicate the possibility of death or injury. A boating accident also includes, but is not limited to, capsizing, foundering, flooding, fire, explosion, and the disappearance of a water vessel other than by theft.

<u>BoatUS (or other NASBLA-approved) Course</u> - This is a mandatory <u>initial</u> course and certification for all Louisiana citizens who operate a watercraft under the jurisdiction of LDWF and whose birth year is 1984 or later. It is also required within ninety (90) days of discovery for any employee deemed "high-risk" by the agency. The new online course is approved by LDWF and the National Association of State Boating Law Administrators (NASBLA) and allows Louisiana boaters to get their safe boating certification at no cost in the comfort of their own home. The course can be found at: https://www.boatus.org/louisiana.

<u>Capsizing</u> - When a vessel overturns and the bottom becomes uppermost, except in the case of a sailboat. If a sailboat overturns, it will normally lay on its side.

<u>Careless Operation</u> - Operation of any watercraft in a careless or heedless manner so as to be grossly indifferent to the person or property of other persons or at a rate of speed greater than will permit exercise of reasonable care to bring the watercraft to a stop within the assured clear distance ahead.

<u>Commercial Vessel</u> – Any vessel engaged in commercial trade or that carries passengers for hire.

<u>Flooding</u> - Filling with water, regardless of method of ingress, but retaining sufficient buoyancy to remain upon the surface.

<u>High-risk Operator</u> - High-risk operators are those individuals having three or more convictions, guilty pleas, and/or nolo contendere pleas for moving vessel violations or individuals having a single conviction, guilty, plea, or nolo contendere plea for operating a vessel while intoxicated, careless operation, reckless operation, negligent homicide, or similar violation including any civil case for which negligence has been proven within the previous twelve (12) month period.

<u>Navigable Water</u> – A body of water deep and wide enough for a vessel to pass without obstructions.

202<mark>5</mark>0701 Page 9 of 22

<u>Negligent Homicide</u> - Operation of any watercraft at an immoderate rate of speed or in a careless or negligent manner causing the death of another.

<u>Refresher Course</u> – This is a mandatory course for all employees once every three years after having taken the initial boating course. It is offered free of charge on-line through Success Factors.

<u>Reckless Operation</u> - Operation of any watercraft in such a manner as to endanger life, limb, or damage the property of any person.

<u>State-owned/leased/hired Vessel</u> - Any water vessel owned, leased, and/or rented by the State of Louisiana.

<u>Water Operator Record (WOR)</u> - Record containing history of boating violations and accidents maintained by the Department of Wildlife and Fisheries (Enforcement Division) on each operator in the State of Louisiana.

<u>Water Vessel</u> - Every type of watercraft, other than a seaplane, on the water used or capable of being used as a means of transportation. Private vessels commandeered in an emergency situation will be included in the definition of a water vessel.

202<mark>5</mark>0701 Page 10 of 22

APPENDIX

Authorization/Operator History Form (DA 2066) - This form shall be maintained by the agency on each employee who operates a vessel on a regular basis. The form shows when an employee was authorized, the type of vessel the employee may use, and information on the vessel operator's record.

Boating Accident Form (DWF-BIR-0100P) - This form shall be completed on an accident involving a state vessel.

Report of Marine Accident, Injury, or Death (CG-2692) and instructions

202<mark>50</mark>701 Page 11 of 22

VESSEL AUTHORIZATION/OPERATOR HISTORY FORM

The following information shall be retained on file by all Agencies on their Operators authorized to operate a State vessel:

Name:			Employe	d by:		
Address:				(D	epartment, Board,	Commission)
		_ Zip	Assigned	to:		
					(Agency, District,	Office)
Operator Lic	ense No.:		Job Title:			
Expiration Da	ate:		Immediate	e Supervisor'	s Name:	
Date of Birth	<u>:</u>		_ Operator's	S Phone Num	ber:	
Issue Date:_			_ Is the Prin	nary purpose	to operate vessels	s? YesNo
Is a Current (Operator Reco	ord attached:_	Has it be	een verified a	s accurate?	
******	******	******	******	******	*******	*****
	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6
TYPES OF VESSEL:	No motor, Pirogue skiff Raff bateau	Motorboat Class A-1-2-3	Airboat Push	Tug	Ferry Marsh Buggy	Other
State Vessels Authorized to Operate:						
	: :	•		Source of T	raining:	<u>. </u>
Number of da Required to I	ays per week handle hazard	required to op lous cargo: Ye	erate a vess	el:	*******	
I have review considered h record. The authorize thi	ved this indivi nis/her operati attached Ope s individual to	dual's genuing ing experience rator Record h	e need to op e, class/type nas been ver ressels listed	erate a State equipment to ified as accur d above in ac	vessel. In conduc be operated, and rate and updated a	ting this review, I have a one-year operating
	cy Head Sign lly designated			Date	of Authorization	
DA 2066 (6/06						

202<mark>5</mark>0701 Page 12 of 22

ANNUAL SUPPLEMENTAL SIGNATURE PAGE

EMPLOYEE NAME:	
OPERATOR LICENSE NUI	MBER:
DEPARTMENT/AGENCY:_	
AGENCY HEAD OR	DESIGNEE STATEMENT
By executing this document, I have reviewed to be current and in accordance with the ORM	the following and have confirmed the information I Loss Prevention requirements:
	perator Record erator Training Course
Further, my signature allows the aforemention ousiness.	ned employee to operate a state vessel on state
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization
Agency Head (or designated individual)	Date of Authorization

(DUPLICATE SUPPLEMENTAL SIGNATURE PAGE AS NEEDED)

07/01/1015 DA2066 Supp.-1 LOUISIANA DEPARTMENT OF WILDLIFE AND FISHERIES LAW ENFORCEMENT DIVISION P.O. BOX 98000 BATON ROUGE, LA 70898-9000



VESSEL REGISTRATION#*

Rev. 09/10

OPERATOR BOATING INCIDENT REPORT

operator in filing the require								ovided to assist the
		LETE ALL BLO	OCKS (Indic	ate those n	ot applicable by	/ "NA")		
IAME AND ADDRESS OF			,		DDRESS OF OWN		same as op	perator
LAST:	STRE	ET1:		LAST	:	5	STREET 1:	
FIRST	STRE	ET 2		FIRST		,	STREET 2	
:	,	: CITY		MI	:		CITY	
MI								
PHONE NO .	STATE	ZZIP'		PHONE NO	<u> </u>	, S	STATE/ZIP	
OPERATOR AGE AND DATE	OF BIRTH	yrs. /	1	RENTED BOAT?	YES NO	ŀ	NUMBER OF PERSONS ON BOARD	
OPERATOR'S EXPERIENCE		HOURS 100 100-500 Over 5	00 None	FORMAL INSTRU	CTION IN BOATING SAFETY	,		
THIS TYPE OF BOAT		J []		☐ None		USCO	Auxiliary can Re d Cross	
OTHER BOAT OPERATIN	NG EXP			U.S. Pow	er Squadrons	☐ Other		
OAT REGIST. NO.	BOAT NAME	MANUFAC	TURER BOA	T MODEL			IDENTIFICATION N	10.
YPE OF BOAT	HULL MATERIAL	ENGINE	PRO	PULSION	CONSTRUCTION		STEERI	NG
Open Motorboat	☐ Wood	Outboard Inboard	No.	of engines	Length ft	Width	ft □Rem	ote Other
Cabin Motorboat Auxiliary Sail	☐ Aluminum ☐ Steel	☐ Inboard		SINE 1	Year Built	Depth	ft □Han	d tiller
Sail (only)	☐ Fiberglass	Inboard-outdri	Mfg.					
Rowboat	☐ Rubber / Vinyl		Hors	sepower	HAS BOAT HAD A ☐ Yes ☐ No	SAFETYE	XAMINATION?	
Canoe Personal Water Craft	☐ Other	☐ Other	Seri	al No.	For Current Year?	□ Yes □	1 No	
Airboat		TYPE OF FUEL	ENC	SINE 2	Which Kind?			
] Houseboat	1	☐ Gasoline ☐ (Juner		USPS/USCG		pection	
Pontoon Boat		☐ Diesel			State/local Exa	mination		
Other				sepower	☐ Other			
			Seri	al No				
			INCIDE	NT DATA				
ATE OF INCIDENT DAY	OF WEEK TIM	ME OF INCIDENT				L	OCATION (give p	recisely)
							Lat:	
			<u> </u>				Long:	
TATE	1	REST CITY OR TO	WN		PARISH			PARISH CODE
LOUISIANA	MINTED C	ONDITIONS	TEMP	ERATURE	WIND		VISIBILITY	TIME OF DAY
	IANW I ELL OC	loss than 6")	Air	dea F	☐ None		☐ Good	☐ Day
/EATHER	☐ Calm (I						☐ Fair	☐ Night
VEATHER check all applicable)	Calm (I	v (waves 6" to 2')	Water	deg F ' deg F	☐ Light (0-6	mph)	. =	
VEATHER check all applicable) ☐ Clear ☐ Rain	Calm (I	y (waves 6" to 2') (waves 2' to 6')			Light (0-6	mph) (7-14 mph) Poor	1
VEATHER check all applicable) ☐ Clear ☐ Rain ☐ Cloudy ☐ Snow	Calm (I Choppy Rough W Very R	y (waves 6" to 2') (waves 2' to 6') lough (greater than		Н	Strong (1	5-25 mph)		
/EATHER check all applicable) ☐ Clear ☐ Rain ☐ Cloudy ☐ Snoo ☐ Fog ☐ Hazy	Calm (I Choppy W Very R Strong	y (waves 6" to 2') (waves 2' to 6') lough (greater than Current	6') DEPT		Strong (1	5-25 mph) ver 25 mph)		Thomas and
VEATHER check all applicable) Clear Rain Cloudy Snoo Fog Hazy	Calm (I Choppe Rough W Strong Strong ERSONAL FLOTAT	y (waves 6" to 2') (waves 2' to 6') lough (greater than Current FION DEVICES (PF	6') DEPTI	H _ft	Strong (1: Storm (ov IGNITION AND	5-25 mph) ver 25 mph)	FIRE EX	KTINGUISHERS
VEATHER check all applicable) Clear Rain Cloudy Snoo Fog Hazy	Calm (I Choppy Rough Very R Strong ERSONAL FLOTAT	y (waves 6" to 2') (waves 2' to 6') lough (greater than Current TION DEVICES (PF Was the vesse	6') DEPTI	H _ft	Strong (1. Storm (over IGNITION AND Ignition key position	5-25 mph) ver 25 mph)	FIRE E) WERE THEY	USED?
VEATHER check all applicable) Clear Rain Cloudy Snow Fog Hazy Vas the boat adequately equip PPROVED personal floatatio	Calm (I Choppy Rough Very R Strong ERSONAL FLOTAT	y (waves 6" to 2') (waves 2' to 6') lough (greater than Current TION DEVICES (PF Was the vesse	6') DEPTI	H _ft	Strong (1: Storm (ov IGNITION AND	5-25 mph) ver 25 mph) THROTTLE	E FIRE E) WERE THEY (If yes, list Type	USED? e(s) and number used.)
VEATHER check all applicable) Clear Rain Cloudy Snov Fog Hazy Vas the boat adequately equip PPROVED personal floatation (were they accessible?	Calm (I Choppy W Very R Strong ERSONAL FLOTAT oped with USCG n devices? Yes [y (waves 6" to 2') (waves 2' to 6') tough (greater than Current FION DEVICES (PF Was the vesse No saving devices Yes N	6') DEPTI	H ft PPROVED life	Strong (1. Storm (ov IGNITION AND Ignition key position On Off Engine equipped with Yes No	5-25 mph) ver 25 mph) THROTTLE	FIRE EX	USED? e(s) and number used.)
VEATHER check all applicable) Clear Rain Cloudy Snow Fog Hazy Vas the boat adequately equip. PPROVED personal floatation Vere they accessible? Vere they serviceable? Vere they serviceable? Vhat Type and How Many?	Calm (I Choppy W Choppy W Choppy Strong Strong ERSONAL FLOTAT pped with USCG n devices? Yes No Yes No	y (waves 6" to 2') (waves 2' to 6') tough (greater than Current FION DEVICES (PF Was the vesses No saving devices Yes \(\) N Were they acce	6') DEPTI D'S) carrying NON-A consible?	H ft PPROVED life Yes No	Strong (1. Storm (ov IGNITION AND Ignition key position On Off Engine equipped with Yes No Kill switch used?	5-25 mph) ver 25 mph) THROTTLE	FIRE E) WERE THEY (If yes, list Type	USED? e(s) and number used.)
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VEATHER check all applicable) Clear	Calm (I Choppy W Perp Rough W Strong PERSONAL FLOTAT Opped with USCG n devices? Yes No	y (waves 6" to 2') (waves 2' to 6') (ough (greater than Current ION DEVICES (PF Was the vesse No saving devices Yes \ N Were they acce Were they uses No If yes, indicate	6') DEPTI	H ft PPROVED life Yes No	Strong (1. Storm (ov IGNITION AND Ignition key position On Off Engine equipped with Yes No Kill switch used?	5-25 mph) ver 25 mph) THROTTLE Kill Switch?	FIRE E) WERE THEY (If yes, list Type	USED? e(s) and number used.)

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VESSEL REGISTRATION#

OPERATOR BOATING INCIDENT REPORT



PAGE <u>2</u> of _____

				A CONTINU				*
OPERATION AT TIME OF (Check all applicable) Commercial Activity Cruising Maneuvering Approaching Dock Leaving Dock Water Skiing Racing Towing Other		TYPE OF INCIDEN (Number by order Grounding Capsizing Flooding Sinking Fire or Explosion (fuel) Fire or Explosion(other that fuel) Skier Mishap Struck submerged object	of occurrence, Collis Collis Collis Floating Ob Falls Falls Flast Propeller	oion with Vessel ion with Fixed ct ion with ject overboard in Boat y Boat or	WHAT IN Y((Number by Wea Exce No F Rest Over Impr Haza Alcol Shara Rule Spec Impr Forc Start Jenitt Fuel/Vapon Miss ATONS	essive Speed Proper Lookout ricted Vision ricoading poper Loading proper Anchoring proper Anchoring proper Anchoring proper Loading proper Lo	Driver Second S	condary-2, tertiary-3) ug use ult of Hull ult of Machinery ult of Equipment berator experience berator Inattention ssenger/Skier Behavi om/Lock anding/Sitting on s, bows,& transom ilure to Vent i Throttle Steering Los breless/Reckless on proper/No Running
						nown		her
IS VESSEL INSURED?	☐ Yes ☐ No In	INSUR surance Agency	ANCE / PRO Policy Numb	PERTY DAM	AGE			
This Boat \$Other Property \$ DESCRIPTION OF OTHE	R PROPERTY DAMAG	GED				ADDRESS OF OWNER		*
			PASSE	NGERS	PHON	E#()		
NAME	ADDRESS		DATE OF BIRTH	☐ NO INJU	D	MEDICAL TREATMI ADMINISTERED?		WAS PFD WORN? ☐ Yes ☐ No What Type?
TELEPHONE NO.				DECEAS		☐ YES ☐ NO		
NAME	ADDRESS	,	DATE OF		<u>Т</u> Ү ∐ И			
TELEPHONE NO.			DATE OF BIRTH	☐ NO INJUREI☐ DECEAS	JRY D SED	MEDICAL TREATMI ADMINISTERED?	ENT V	WAS PFD WORN? ☐ Yes ☐ No What Type?
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VESSEL REGISTRATION#

OPERATOR BOATING INCIDENT REPORT



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	C	THER VESSEL			
Name of Operator	Address		Boat N	umber	
Telephone Number]		Boat N	ame	
()					
Name of Owner	Address			Ł	
	ОТ	HER WITNESSES			
Name	Address		Teleph	ione Number	
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Name	Address		Teleph	one Number	
	4.11		1)	
Name	Address	-2	leleph	one Number	
	DEDCON	COMPLETING REPORT		1	
	FERSON				
SIGNATURE		ADDRESS	Teleph	none Number	
			()	
QUALIFICATION (Check One)			-Date C	Completed	
Operator Owner Other					

ATTACH ADDITIONAL IF NECESSARY

----CONTINUED NEXT PAGE----

VESSEL REGISTRATION #	OPERATOR BOATING INCIDENT REPORT	PAGE <u>4</u> of _
. •	DIAGRAM OF INCIDENT	
	r'	Indicate North w/ a
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,		
NAMEOF PERSON COMPLETING REPORT	SIGNATURE	DATE COMPLETED

202<mark>5</mark>0701 Page 17 of 22

SEL REGISTRATION#	OPERATOR BOATING INCIDENT REPO	ORT 🖤	PAGE <u>5</u> of _
Di	ETAILED DESCRIPTION OF INCIDE	NT	
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MEOF PERSON COMPLETING REPORT	SIGNATURE	I DA	E COMPLETED

202<mark>5</mark>0701 Page 18 of 22

OMB: Control No. 1625-0001

U.S. DEPARTMENT OF HOMELAND SECURITY			T OF MAR			,	MISIEN		O. G-MOA
U.S. COAST GUARD CG-2692 (Rev. 0604)			INJURY O	R DEAT	T H		I WILLIAM	- INCAI	TOTAL INCREDER
		SE	CTION I. GENER	AL INFORMA	TION				
Name of Vessel or Facility			2. Official No.	3. Na	tionality	4. Call Sig	gn .	5. USC Inspec	G Certificate of tion Issued at:
6. Type (Towing, Freight, Fish, D	rill, etc.)	7. Length	8. Gross Tons	9. Ye	ar Built	10. Propu	Ision (Ste	em, diesei	, ges, turbine)
11. Hull Material (Steel, Wood)	12. Oraft (F FWD	AFT.	13. If Vessel Classe DWV, BV, etc.)	d, By Whom: (A	BS, LLOYDS,	14. Date	(of occurre	nce)	15. TIME (Local)
16. Location (See Instruction No.	10A)		L			17. Estima	ated Loss o	f Damage	TO:
18. Name, Address & Telephone N	lo. of Operating Co).				- VESS	EI		
						CARC	3O _		
19. Name of Master or Person in C	harge	USCG Licer	se	20. Name of	Pilot		USCG Lie	ense	State License
						1	□ Y	es Í	☐ YES
10. Otros 1 deles (Cit. Otro)	7: 0 ()	YES	NO	20- 0	d+ (0). Dr			0	
19a. Street Address (City, State,	ыр (.co.e)	19b. Telepho	NECHATALISM	ZUBI. STREET A	ddress (City, Sta	мч, <i>ЦР</i> С 006)	2	tuto. l'elepi	none Number
21. Casualty Elements (Check as	many as needed	and explain in Bloo	ck 44.)						
NO. OF PERSONS ON B	DARD	F	LOODING; SWAMPI	NG WITHOUT S	INKING				NCY EQUIPMENT
DEATH - HOW MANY?			APSIZING (with or	without sinking)		(Describe	OR INADEO in Block 4	4.)	
MISSING - HOW MANY?		□	OUNDERING OR SI	YKING		LIFESAV	ING EQUIPUATE (Des	MENT F	AILED OR
INJURED - HOW MANY?			EAVY WEATHER D	AMAGE			•		•
i : HAZARDOUS MATERIAL	RELEASED OR	I	IRE			☐ BLOW O	UT (Petro	өйт өхрс	ration/production)
(Identify Substance and an	nount in Block 44.)		XPLOSION				LINVOLVE		
OIL SPILL - ESTIMATE A	MOUNET.	l m	OMMERCIAL DIVIN CE DAMAGE	G CASUALTY		· ·	in Block 4	•	cribe in Block 44.)
U OILSPILL-ESTIMATE A	MOUNT:	IE 1	DE DAMAGE DAMAGE TO AIDS TO	A NAVICATION		_ DAGGIN	VOLVEME	IN (Des	Cribe In Block 44.)
CARGO CONTAINER LO	ST/DAMAGED	- I-	TEERING FAILURE	JAMANGATION		OTHER	(Specify)		
COLLISION	3 1101 IIII 102D	I	ACHINERY OR EQ	JIPMENT FAILUI	RE		(,		
(Identify other vessel or ob	ject in Block 44.)	lm.	LECTRICAL FAILUR		-				
GROUNDING	WAKE DAMAG	se II s	TRUCTURAL FAILU	RE					
22. Conditions									
	B. WEATHER	C. T		D. VISIBILIT	n	DISTANCE (r. €visibility)	niles		_
A. Sea or River Conditions (wave height, river stage,	∐ CLEAR		DAYLIGHT	∐; GOOD					
etc.)	∐ RAIN □ SNOW	H	TWILIGHT	☐ FAIR ☐ POOR		AIR TEMPERA (F)	ATURE		_
	FOG	Ш	HOITI			WIND SPEED	å		
	OTHER (S	pecify)				DIRECTION			
						CURRENT SP & DIRECTION			
23. Navigation Information			PEED	24. L	ast 'ort				24a. Time and Date of Departure
MOORED, DOCKED OR		C)	ND DURSE	v	Vhere				
ANCHORED UNDER 25. 25a.	WAY OR DRIFTIN	G	256.		lound	25d //\sigma	scribe in B	lock 44 i	
	ER Empty	Loaded Total			Length Widt	1 - '	SHING AHE		
FOR NUMB	EK Empay 1	TOTAL	TOTAL H.P. OF	MAXIMUM SIZE OF TOW	CO. 1301 1910	_ =	MING ASTI		
TOWING VESSE	LS		TOWING	WITH TOW-		1 =	WING ALO		
ONLY	1 1		UNITS	BOAT(S)		1 =			-BOAT ON TOW
			ARGE INFORMA	TION					CG Certificate of
26. Name		26a. Official Numb	xer	26b. Type	26c. Length	26d. Gros	s Tons	Inspectio	n Issued at:
26f. Year Built 26g.	SINGLE SKIN	26h. Draft FWD	AFT	26i. Operating Co) ompany			<u> </u>	
26j. Darnage Amount	DOUBLE		26k. Describe Dam.	age to Barge					
BARGE				-					
CARGO									
OTHER									
PREVIOUS EDITION IS OBSOLE	TF							•	

202<mark>5</mark>0701 Page 19 of 22

PAGE 2:OF CG-2692 (REV.: 06-04)

E .		CECTION				
<u> </u>				CCIDENT INFORMA	TION	
27. Person Involved	27	'a. Name (Last, First, Mi	iddle Name)			27c. Status
☐ MALE.or ☐ FE	MALE					☐ Crew
☐ DEAD ☐ IN.	JURED 27	b. Address (City, State,	Zip Code)			☐ Passenger
MISSING	i					☐ Other
28. Birth Date	29. Telephor	ne No.	30. Job Position	ı		31. (Check here if off duty)
32. Employer - (if differen	it from Block 18.	, fill in Name, Address, T	elephone No.)			
33. Person's Time					34. Industry of E	mplayer (Towing, Fishing, Shipping,
A. IN THIS IND	ICTOV		YEAR(\$)	MONTH(S)	Crew Supply, Di	rilling, etc.)
					L	
B. WITH THIS (OMPANY -				35. Was the Inju	ired Person Incapecitated 72 Hours or
C. IN PRESENT	JOB OR PO	SITION -			More?	
D. ON PRESEN	T VESSEL/FA	ACILITY -			36. Date of Deat	th
			DED		00. 00.00	
37. Activity of Person at T		ACCIDENT OCCUR	KKED -		L	
20 Consider Location of A		of #T a cilibra				
38. Specific Location of A	CODERT ON VESSE	e/Facility				
20 Type of Appident /Fe	I County botune	an ata l	170.04	40 Bassillan Inter- (C	4.5-1	
39. Type of Accident (Fa	n, Cauger Deliver	91, BC.)		40. Resulting Injury (C	ur, Bruise, Fracture, Bi	um, etc.)
41. Part of Body Injured				42. Equipment Involved	in Accident	
		 				
43. Specific Object, Part of	f the Equipment	in block 42., or Substanc	e (Chemical, Solvent, etc.)	that directly produced the	e Injury.	
		\$E(CTION IV. DESCRIPTI	ON OF CASUALTY		
sheets if necessary).	,				· · · · · · · · · · · · · · · · · · ·	(See instructions and attach additional
.,						
45. Witness (Name, Addi	ess, Telephone I	No.)				
. 45. Witness (Name, Add	ress, Telephone l	No.)		• • • • • • • • • • • • • • • • • • •		
45. Witness (Name, Addi 46. Witness (Name, Addi				*****		
	ess, Telephone i	No.)	MAKING THIS BEDO	DT		To Title
46. Witness (Name, Addr	ess, Telephone i	No.)	MAKING THIS REPO		47	rc. Title
	ess, Telephone i	No.)	MAKING THIS REPO			
46. Witness (Name, Addr	ess, Telephone i	No.)				7c. Title d. Telephone No.
46. Witness (Name, Addr	ess, Telephone i	No.)			47	d. Telephone No.
46. Witness (Name, Add 47. Name (PRINT) (Last,	ess, Telephone i	No.)			47	
46. Witness (Name, Additional Add	ess, Telephone i SE First, Middle)	CTION V. PERSON	47b. Address (City, State,	Zip Code)	47	d. Telephone No.
45. Witness (Name, Add 47. Name (PRINT) (Last,	ess, Telephone i SE First, Middle)	CTION V. PERSON	47b. Address (City, State,	Zip Code)	47 47 PORTING OFFICE	d. Telephone No.
45. Witness (Name, Add 47. Name (PRINT) (Last, 47a. Signature	SEI First, Middle) FOR igation Activity	CTION V. PERSON COAST GUARD USE y Data Entry:	47b. Address (City, State,	Zip Code) RE Incident Investigation	47 47 PORTING OFFICE Activity Number (i	d. Telephone No.
46. Witness (Name, Additional Add	SEI First, Middle) FOR igation Activity	CTION V. PERSON	47b. Address (City, State,	Zip Code) RE Incident Investigation	47 47 PORTING OFFICE Activity Number (i	d. Telephone No.
46. Witness (Name, Add 47. Name (PRINT) (Last, 47a. Signature MISLE Incident Invest	SE First, Middle) FOR igation Activity	COAST GUARD USE y Data Entry:	47b. Address (City. State,	Zip Code) RE Incident Investigation	47 47 47 PORTING OFFICE Activity Number (i	7e. Date f applicable)
45. Witness (Name, Add 47. Name (PRINT) (Last, 47a. Signature	SEFIRE MIDDLE SEFIRE MIDDLE SEFIRE MIDDLE SEFIRE SE	COAST GUARD USE by Data Entry: DATA COLLEC	47b. Address (City. State,	Zip Code) RE Incident Investigation	47 47 PORTING OFFICE Activity Number (i	7e. Date f applicable)

202<mark>5</mark>0701 Page 20 of 22

INSTRUCTIONS

FOR COMPLETION OF FORM CG-2692

REPORT OF MARINE ACCIDENT, INJURY OR DEATH

AND FORM CG-2692A, BARGE ADDENDUM

WHEN TO USE THIS FORM

This form satisfies the requirements for written reports of accidents found in the Code of Federal Regulations for vessels, Outer Continental Shelf (OCS) facilities, mobile offshore drilling units (MODUs), and diving. The kinds of accidents that must be reported are described in the following instructions.

VESSELS

- 2. A vessel accident must be reported if it occurs upon the navigable waters of the U.S., its territories or possessions; or whenever an accident involves a U.S. vessel; wherever the accident may occur. (Public vessels and recreational vessels are excepted from these reporting requirements.) The accident must also involve one of the following (ref. 46 CFR 4.05-1):
- A. All accidental groundings and any intentional grounding which also meets any of the other reporting criteria or creates a hazard to navigation, the environment, or the safety of the vessel;
- B. Loss of main propulsion or primary steering, or an associated component or control system, the loss of which causes a reduction of the maneuvering capabilities of the vessel. Loss means that systems, component parts, subsystems, or control systems do not perform the specified or required function;
- C. An occurrence materially and adversely affecting the vessel's seaworthiness or fitness for service or route including but not limited to fire, flooding, failure or damage to fixed fire extinguishing systems, lifesaving equipment or bilge pumping systems;
 - Loss of life;
- E. An injury that requires professional medical treatment (beyond first aid) and, if a crewmember on a commercial vessel, that renders the individual unfit to perform routine duties.
- F. An occurrence not meeting any of the above criteria but resulting in damage to property in excess of \$25,000. Damage cost includes the cost of labor and material to restore the property to the condition which existed prior to the casualty, but it does not include the cost of salvage, cleaning, gas freeing, drydocking or demurrage.

MOBILE OFFSHORE DRILLING UNITS

3. MODUs are vessels and are required to report an accident that results in any of the events listed by Instruction 2-A through 2-F for vessels. (Ref. 46 CFR 4.05-1, 46 CFR 109.411)

OCS FACILITIES

- 4. All OCS facilities (except mobile offshore drilling units) engaged in mineral exploration, development or production activities on the Outer Continental Shelf of the U.S. are required by 33 CFR 146.30 to report accidents resulting in:
 - A. Death:
 - В Injury to 5 or more persons in a single incident;
- C. Injury causing any person to be incapacitated for more than 72 hours:
- D. Damage affecting the usefullness of primary lifesaving or firefighting equipment;
- E. Damage to the facility in excess of \$25,000 resulting from a collision by a vessel;
- Damage to a floating OCS facility in excess of F. \$25,000.
- 5. Foreign vessels engaged in mineral exploration, development or production on the U. S. Outer Continental Shelf, other than vessels already required to report by Instructions 2 and 3 above, are required by 33 CFR 146.303 to report casualties that result in any of the following:
 - A.
 - B. Injury to 5 or more persons in a single incident;
- C. Injury causing any person to be incapacitated for more/than/72/hours;

DIVING

- Diving casualties include injury or death that occurs while using underwater breathing apparatus while diving from a vessel or OCS facility.
- A. COMMERCIAL DIVING. A dive is considered commercial if it is for commercial purposes from a vessel required to have a Coast Guard certificate of inspection, from an OCS facility or in its related safety zone or in a related activity, at a deepwater port or in its safety zone. Casualties that occur during commercial dives are covered by 46 CFR 197.486 if they result in:
 - Loss of life:

 - Injury causing incapacitation over 72 hours;
 Injury requiring hospitalization over 24 hours.

20250701 Page 21 of 22 In addition to the information requested on this form, also provide the name of the diving supervisor and, if applicable, a detailed report on gas embolism or decompression sickness as required by 46 CFR 197.410(a)(9).

Exempt from the commercial category are dives for:

- Marine science research by educational
- institutions;

 2. Research in diving equipment and technology;

 3. Search and Rescue controlled by a government agency.
- B. ALL OTHER DIVING. Diving accidents not covered by Instruction (6-A) but involving vessels subject to Instruction (2), VESSELS, must be reported if they result in death or injury causing incapacitation over 72 hours. (Ref. 46 CFR 4.03-1(c)).

HAZARDOUS MATERIALS

7. When an accident involves hazardous materials, public and environmental health and safety require immediate and environmental health and safety require immediate action. As soon as any person in charge of a vessel or facility has knowledge of a release or discharge of oil or a hazardous substance, that person is required to immediately notifyl the U.S. Department of Homeland Security's National Response Center (tilephone toll-free 800-424-8802 - in the Washington, D.C. area call 202-426-2675). Anyone else knowing of a pollution incident is encouraged to use the toll-free telephone number to report it. If etiologic (disease causing) agents are involved, call the U.S. Public Health Service's Center for Disease Control in Atlanta, GA. (telephone 404-633-5313). (Ref. 42 USC 9603; 33 CFR 153; 49 CFR 171.15)

COMPLETION OF THIS FORM

- 8. This form should be filled out as completely and accurately as possible. Please type or print clearly. Fill in all blanks that apply to the kind of accident that has occurred. If a question is not applicable, the abbreviation "NA" should be entered in that space. If an answer is unknown and cannot be obtained, the abbreviation "UNK" should be entered in that space. If "NONE" is the correct response, then enter it finithat space.
- 9. Once completed, deliver or mail this form as soon as possible to the Coast Guard Marine Safety, Marine Inspection or Activities Office nearest the location of the casualty or, if at sea, nearest the arrival port.

- Amplifying information for completing the form:
- A. Block 16 "LOCATION" Latitude and longitude to the nearest tenth of a minute should always be entered except in those rivers and waterways where a mile marker system is commonly used. In these cases, the mile number to the nearest tenth of a mile should be entered. If the latitude and longitude, or mile number, are unknown, reference to a known landmark or object (buoy, light, etc.) with distance and bearing to the object is permissible. Always identify the body of water or waterway referred to.
- B. Tug or towboat with tow Tugs or towboats with tows under their control should complete all applicable portions of the CG-2692. SECTION II should be completed if a barge causes or sustains damage or meets any other reporting criteria. If additional barges require reporting, the "Barge Addendum," CG-2692A, may be used to provide the information for the additional barges.
- C. Moored/Anchored Barge If a barge suffers a casualty while moored or anchored, or breaks away from its moorage, and causes or sustains reportable damages or meets any other reporting criteria, enter the location of its moorage in Block (1) of the CG-2692 and complete the form except for Blocks (2) through (13). The details will be entered in SECTION If for one barge and on the "Barge Addendum" CG-2692A, for additional barges.
- D. SECTION III Personnel Accident Information SECTION III must be completed for a death or injury. In addition, applicable portions of SECTIONS I, II and IV must be completed. If more than one death or injury occurs in a single incident, complete one CG-2692 for one of the persons injured or killed, and attach additional CG-2692's, filling out Blocks (1) and (2) and SECTION III for each additional person.
- E. BLOCK 44 Describe the sequence of events which led up to this casualty. Include your opinion of the primary cause and any contributing causes of the casualty. Briefly describe damage to your vessel, its cargo, and other vessels/property. Include any recommendations you may have for preventing similar casualties. ALCOHOL AND DRUG INFORMATION. Provide the following information with regard to each person determined to be directly involved in the casualty: name, position aboard the vessel, whether or not the person was under the influence of alcohol or drügs at the time of the casualty; and the method used to make this determination. If toxicological testing is conducted the results should be included; if results are not available in a timely manner, provide the results of the toxicological test as soon as practical and indicate that this is the case in block 44 of the casualty form. BLOCK 44 - Describe the sequence of events

NOTICE: The information collected on this form is routinely available for public inspection. It is needed by the Coast Guard to carry out its responsibility to investigate marine casualties, to identify hazardous conditions or situations and to conduct statistical analysis. The information is used to determine whether new or revised safety initiatives are necessary for the protection of life or property in the marine environment.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number.

The Coast Guard estimates that the average burden for this report is 1 hour. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (G-MOA), U.S. Coast Guard, Washington, DC 20593-0001 or Office of Management and Budget, Paperwork Reduction Project (1625-0001), Washington, DC 20503

20250701 Page 22 of 22